

On a Problem of Rivlin in L_p ($1 < p < \infty$) Approximation*

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A partial answer to a problem of Rivlin ("Abstract Spaces and Approximation,"
Birkhäuser Verlag, Basel/Stuttgart, 1969) in L_p ($1 < p < \infty$) approximation is
given.

1. INTRODUCTION

In a conference held at Oberwolfach in 1968, Rivlin [1] proposed the following problem:

Characterize those n -tuples of algebraic polynomials $\{p_0, p_1, \dots, p_{n-1}\}$ with degrees satisfying

$$\deg p_j = j \quad (j = 0, 1, \dots, n-1)$$

for which there exists an $f \in C[-1, 1]$ such that the polynomial of best uniform approximation of degree j to f is p_j ($j = 0, 1, \dots, n-1$).

Several authors have studied this problem (see the references in [2]). In this paper we consider the above problem in $C[-1, 1]$ with the L_p ($1 < p < \infty$) norms and give a partial answer.

THEOREM 1. *Let V_1 and V_2 be Chebyshev subspaces with dimensions m and n ($m < n$), respectively. Let $V_1 \subset V_2$ and $v_j \in V_j$ ($j = 1, 2$). If there exists an $f \in C[-1, 1]$ such that v_j is a best L_p ($1 < p < \infty$) approximation to f from V_j ($j = 1, 2$), then the function $v = v_2 - v_1$ changes sign at least m times in $[-1, 1]$.*

However, in general, this condition is not sufficient, because we have

THEOREM 2. *Let V_1 and V_2 be subspaces of $C[-1, 1]$. Let $V_1 \subset V_2$ and*

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$v_j \in V_j$ ($j = 1, 2$). Then there exists an $f \in C[-1, 1]$ such that v_j is a best L_2 approximation to f from V_j ($j = 1, 2$) if and only if v_1 is a best L_2 approximation to v_2 from V_1 .

Some notation is needed in the following proof:

$$\begin{aligned} Z_+(g) &= \{x \in [-1, 1]: g(x) > 0\}, \\ Z_-(g) &= \{x \in [-1, 1]: g(x) < 0\}, \\ Z(g) &= \{x \in [-1, 1]: g(x) = 0\}. \end{aligned}$$

2. PROOF OF THEOREM 1

Suppose on the contrary that there exists an $f \in C[-1, 1]$ such that v_j is a best approximation to f from V_j ($j = 1, 2$), but the condition of Theorem 1 is not satisfied, i.e., v changes sign at most $m - 1$ times. In this case we claim that for every $x \in [-1, 1]$ we have either

$$f(x) \leq \min\{v_1(x), v_2(x)\} \quad \text{or} \quad f(x) \geq \max\{v_1(x), v_2(x)\}. \quad (1)$$

Whence, assume that $Z(v) \cap (-1, 1) = \{x_1, \dots, x_k\}$ and $x_0 = -1 < x_1 < \dots < x_k < x_{k+1} = 1$, then $f - v_2$ does not change sign on each of the intervals $[x_i, x_{i+1}]$, $i = 0, 1, \dots, k$. Thus $f - v_2$ changes sign at most k times. Since $k < n$, this is a contradiction [3, Theorem 4].

The remainder of the proof is devoted to showing (1). It is well known that

$$\int_{[-1, 1]} u |f - v_j|^{p-1} \operatorname{sgn}(f - v_j) dx = 0, \quad \forall u \in V_j, \quad j = 1, 2$$

or

$$\int_{Z_+(f-v_j)} u |f - v_j|^{p-1} dx = \int_{Z_-(f-v_j)} u |f - v_j|^{p-1} dx, \quad \forall u \in V_j, \quad j = 1, 2. \quad (2)$$

Since v changes sign at most $m - 1$ times in $[-1, 1]$, there exists a $w \in V_1$ such that

$$\operatorname{sgn} w(x) = \operatorname{sgn} v(x), \quad \forall x \in [-1, 1] \setminus Z(v).$$

We first examine several simple inequalities

$$\int_{Z_+(f-v_1) \cap Z_+(f-v_2)} w |f - v_1|^{p-1} dx \geq \int_{Z_+(f-v_1) \cap Z_+(f-v_2)} w |f - v_2|^{p-1} dx, \quad (3)$$

$$\int_{Z_+(f-v_1) \cap Z(f-v_2)} w |f - v_1|^{p-1} dx \geq 0, \quad (4)$$

$$\int_{Z(f-v_1) \cap Z_+(f-v_2)} w |f - v_2|^{p-1} dx \leq 0. \quad (5)$$

In fact, on $Z_+(f-v_1) \cap Z_+(f-v_2)$ since for $x \in Z_+(v)(Z_-(v))$ we have that $w(x) > 0$ (< 0) and $f(x) > v_2(x) > v_1(x)$ ($f(x) > v_1(x) > v_2(x)$),

$$w(x) |f(x) - v_1(x)|^{p-1} \geq w(x) |f(x) - v_2(x)|^{p-1}. \quad (6)$$

On the other hand, (6) is also valid for $x \in Z(v)$. Thus (3) holds. Inequality (4) results from that on $Z_+(f-v_1) \cap Z(f-v_2)$ $v(x) = v_2(x) - v_1(x) = f(x) - v_1(x) > 0$ and, hence, $w(x) > 0$. Inequality (5) results from that on $Z(f-v_1) \cap Z_+(f-v_2)$ $v(x) = v_2(x) - v_1(x) = v_2(x) - f(x) < 0$ and, hence, $w(x) < 0$.

Now, denoting that

$$I_j^+ = \int_{Z_+(f-v_1) \cap Z_-(f-v_2)} w |f - v_j|^{p-1} dx,$$

$$I_j^- = - \int_{Z_-(f-v_1) \cap Z_+(f-v_2)} w |f - v_j|^{p-1} dx, \quad j = 1, 2,$$

from (3)–(5) it follows that

$$\begin{aligned} & \int_{Z_+(f-v_1)} w |f - v_1|^{p-1} dx \\ &= \int_{Z_+(f-v_1) \cap Z_-(f-v_2)} w |f - v_1|^{p-1} dx + \int_{Z_+(f-v_1) \cap Z_+(f-v_2)} w |f - v_1|^{p-1} dx \\ & \quad + \int_{Z_+(f-v_1) \cap Z(f-v_2)} w |f - v_1|^{p-1} dx \\ & \geq I_1^+ + \int_{Z_+(f-v_1) \cap Z_+(f-v_2)} w |f - v_2|^{p-1} dx \\ &= I_1^+ + \int_{Z_+(f-v_2)} w |f - v_2|^{p-1} dx - \int_{Z(f-v_1) \cap Z_+(f-v_2)} w |f - v_2|^{p-1} dx \\ & \quad - \int_{Z_-(f-v_1) \cap Z_+(f-v_2)} w |f - v_2|^{p-1} dx \\ & \geq I_1^+ + I_2^- + \int_{Z_+(f-v_2)} w |f - v_2|^{p-1} dx. \end{aligned}$$

Similarly we can obtain

$$\int_{Z_-(f-v_2)} w|f-v_2|^{p-1} dx \geq I_1^- + I_2^+ + \int_{Z_-(f-v_1)} w|f-v_1|^{p-1} dx.$$

By (2) the previous two inequalities imply that

$$I_1^+ + I_2^+ + I_1^- + I_2^- \leq 0.$$

But it is easy to see that $I_j^+ \geq 0$ and $I_j^- \geq 0$, $j = 1, 2$. So $I_j^+ = I_j^- = 0$, $j = 1, 2$. Therefore

$$Z_+(f-v_1) \cap Z_-(f-v_2) = Z_-(f-v_1) \cap Z_+(f-v_2) = \emptyset$$

and (1) is proven.

3. PROOF OF THEOREM 2

Sufficiency is trivial, say, $f = v_2$. For necessity it is well known that

$$\int_{[-1,1]} u(f-v_j) dx = 0, \quad \forall u \in V_j, \quad j = 1, 2.$$

Hence

$$\int_{[-1,1]} u(v_2 - v_1) dx = 0, \quad \forall u \in V_1,$$

which means that v_1 is a best L_2 approximation to v_2 from V_1 .

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